



Atty. Dkt. No. 062834-0166

1/23/03

PROPRIETARY MATERIAL NOT OPEN TO PUBLIC.
TO BE OPENED ONLY BY EXAMINER OR OTHER AUTHORIZED
PATENT AND TRADEMARK OFFICE EMPLOYEE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: George H. HOFFMAN, et al.
Title: SYSTEM, METHOD AND
COMPUTER PROGRAM
PRODUCT FOR A MACHINERY
SUPPLY CHAIN MANAGEMENT
FRAMEWORK
Appl. No.: 09/816,212
Filing Date: 03/23/2001
Examiner: ~~Unassigned~~ GORT
Art Unit: ~~2171~~ 3627

RECEIVED
JAN 27 2003
Technology Center 2100

PROPRIETARY INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR 1.56 AND MPEP § 5 724

Assistant Commissioner for Patents
Washington, D.C. 20231

RECEIVED

Sir:

JAN 29 2003

The following is a listing of co-pending U.S. patent applications, which relate(s)
to the above-identified application.

GROUP 3000

| <u>SERIAL NO.</u> | <u>FILING DATE</u> | <u>SERIAL NO.</u> | <u>FILING DATE</u> |
|-------------------|--------------------|-------------------|--------------------|
| 09/815,729 | March 23, 2001 | 09/815,759 | March 23, 2001 |
| 09/815,463 | March 23, 2001 | 09/815,777 | March 23, 2001 |
| 09/815,489 | March 23, 2001 | 09/815,792 | March 23, 2001 |
| 09/815,490 | March 23, 2001 | 09/815,813 | March 23, 2001 |
| 09/815,515 | March 23, 2001 | 09/815,830 | March 23, 2001 |
| 09/815,559 | March 23, 2001 | 09/815,845 | March 23, 2001 |
| 09/815,580 | March 23, 2001 | 09/815,864 | March 23, 2001 |
| 09/815,590 | March 23, 2001 | 09/815,893 | March 23, 2001 |
| 09/815,598 | March 23, 2001 | 09/815,894 | March 23, 2001 |
| 09/815,606 | March 23, 2001 | 09/815,897 | March 23, 2001 |
| 09/815,660 | March 23, 2001 | 09/815,899 | March 23, 2001 |
| 09/815,668 | March 23, 2001 | 09/815,973 | March 23, 2001 |
| 09/815,688 | March 23, 2001 | 09/815,989 | March 23, 2001 |
| 09/815,715 | March 23, 2001 | 09/816,021 | March 23, 2001 |
| 09/815,725 | March 23, 2001 | 09/816,033 | March 23, 2001 |
| 09/815,727 | March 23, 2001 | 09/816,048 | March 23, 2001 |
| 09/815,731 | March 23, 2001 | 09/816,069 | March 23, 2001 |
| 09/815,734 | March 23, 2001 | 09/816,075 | March 23, 2001 |

EL [Signature]

7/1/04

Best Available Copy

1/23/03

| <u>SERIAL NO.</u> | <u>FILING DATE</u> | <u>SERIAL NO.</u> | <u>FILING DATE</u> |
|-------------------|--------------------|-------------------|--------------------|
| 09/816,083 | March 23, 2001 | 09/816,431 | March 23, 2001 |
| 09/816,092 | March 23, 2001 | 09/816,434 | March 23, 2001 |
| 09/816,101 | March 23, 2001 | 09/816,454 | March 23, 2001 |
| 09/816,121 | March 23, 2001 | 09/816,455 | March 23, 2001 |
| 09/816,151 | March 23, 2001 | 09/816,471 | March 23, 2001 |
| 09/816,160 | March 23, 2001 | 09/816,488 | March 23, 2001 |
| 09/816,167 | March 23, 2001 | 09/816,491 | March 23, 2001 |
| 09/816,187 | March 23, 2001 | 09/816,412 | March 23, 2001 |
| 09/816,203 | March 23, 2001 | 09/816,495 | March 23, 2001 |
| 09/816,231 | March 23, 2001 | 09/816,503 | March 23, 2001 |
| 09/816,249 | March 23, 2001 | 09/816,507 | March 23, 2001 |
| 09/816,268 | March 23, 2001 | 09/816,536 | March 23, 2001 |
| 09/816,269 | March 23, 2001 | 09/816,537 | March 23, 2001 |
| 09/816,285 | March 23, 2001 | 09/816,543 | March 23, 2001 |
| 09/816,296 | March 23, 2001 | 09/816,553 | March 23, 2001 |
| 09/816,314 | March 23, 2001 | 09/816,555 | March 23, 2001 |
| 09/816,331 | March 23, 2001 | 09/816,560 | March 23, 2001 |
| 09/816,349 | March 23, 2001 | 09/816,561 | March 23, 2001 |
| 09/816,357 | March 23, 2001 | 09/816,564 | March 23, 2001 |
| 09/816,358 | March 23, 2001 | 09/816,565 | March 23, 2001 |
| 09/816,388 | March 23, 2001 | 09/816,567 | March 22, 2001 |
| 09/816,413 | March 23, 2001 | 09/816,576 | March 23, 2001 |
| 09/816,414 | March 23, 2001 | 09/816,582 | March 23, 2001 |
| 09/816,420 | March 23, 2001 | 09/816,881 | March 23, 2001 |
| 09/816,421 | March 23, 2001 | 09/816,896 | March 23, 2001 |
| 09/816,422 | March 23, 2001 | 09/816,922 | March 23, 2001 |
| 09/816,424 | March 23, 2001 | 09/816,944 | March 23, 2001 |
| 09/816,426 | March 23, 2001 | 09/816,976 | March 23, 2001 |
| 09/816,427 | March 23, 2001 | 09/834,465 | April 13, 2001 |
| 09/816,428 | March 23, 2001 | 09/834,600 | April 13, 2001 |
| 09/816,429 | March 23, 2001 | 09/834,838 | April 13, 2001 |
| 09/816,430 | March 23, 2001 | 09/834,924 | April 13, 2001 |

Applicant considers the aforementioned documents and the disclosure of their existence as pending applications to constitute proprietary information. This Information Disclosure Statement is not intended as an admission either that the listed applications constitute prior art against the claims of the present application or that the listed applications are material to patentability as defined in 37 C.F.R. § 1.56(b).

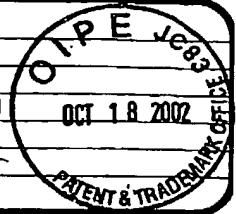
Applicant assumes that the examiner will have access to all of the references cited in the applications. However, if the examiner wishes applicants to submit copies of the references cited in the listed applications, the examiner is encouraged to inform applicants.

El J

7/1/04

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| | | | | | |
|---|---|----|---|------------------------|-------------------|
| Substitute for form 1449B/PTO | | | | Complete if Known | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT Date Submitted: October 18, 2002 (use as many sheets as necessary) | | | | Application Number | 09/816,212 |
| | | | | Filing Date | 03/23/2001 |
| | | | | First Named Inventor | George H. HOFFMAN |
| | | | | Group Art Unit | 2471 3627 |
| | | | | Examiner Name | Unassigned GORT |
| | | | | Attorney Docket Number | 062834-0166 |
| Sheet | 1 | of | 1 | | |



| U.S. PATENT DOCUMENTS | | | | | | |
|-----------------------|-----------------------|----------------------|-----------------------------------|---|--|---|
| Examiner Initials* | Cite No. ¹ | U.S. Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | | Number | Kind Code ² (if known) | | | |
| ED | A1 | 5,950,173 | | PERKOWSKI | 09-07-1999 | RECEIVED OCT 21 2002 Technology Center 2100 |
| ED | A2 | 6,084,528 | | BEACH et al. | 07-04-2000 | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

| FOREIGN PATENT DOCUMENTS | | | | | | | | |
|--------------------------|-----------------------|-------------------------|---------------------|-----------------------------------|--|--|---|----------------|
| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | | | Name of Patentee or Applicant of Cited Documents | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
| | | Office ³ | Number ⁴ | Kind Code ⁵ (if known) | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |

| OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS | | | |
|---|-----------------------|--|----------------|
| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ⁶ |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |

RECEIVED

OCT 25 2002

GROUP 3600

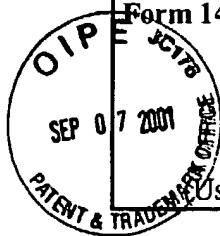
| | | | |
|--------------------|--|-----------------|--------|
| Examiner Signature | | Date Considered | 7/1/04 |
|--------------------|--|-----------------|--------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.

Best Available Copy



Form 1449 (Modified)

Information Disclosure
Statement By Applicant

(Use Several Sheets if Necessary)

Atty. Docket No.

RSIIP037

Applicant:

George Harry Hoffman, et al.

Filing Date:

3/23/01

Application No.:

09/816,212

Group Art Unit:

~~271~~ 3627

Technology Center 2100

SEP 07 2001

RECEIVED

U.S. Patent Documents

| Examiner Initial | No. | Patent No. | Date | Patentee | Class | Sub-class | Filing Date |
|------------------|-----|------------|----------|-----------------|-------|-----------|-------------|
| EJ | A | 6,167,380 | 12/26/00 | Kennedy et al. | 705 | 10 | 02/18/97 |
| | B | 6,151,582 | 11/21/00 | Huang et al. | 705 | 8 | 02/24/97 |
| | C | 6,115,690 | 09/05/00 | Wong | 705 | 7 | 12/22/97 |
| | D | 5,983,198 | 11/09/99 | Mowery et al. | 705 | 22 | 04/23/96 |
| | E | 5,974,395 | 10/26/99 | Bellini et al. | 705 | 9 | 08/21/96 |
| | F | 5,963,919 | 10/05/99 | Brinkley et al. | 705 | 28 | 12/23/96 |
| | G | 5,953,707 | 09/14/99 | Huang et al. | 705 | 10 | 02/21/97 |
| | H | 5,914,878 | 06/22/99 | Yamamoto et al. | 364 | 468.13 | 07/22/97 |
| | I | 5,884,300 | 03/16/99 | Brockman | 707 | 2 | 05/01/97 |
| | J | 5,774,868 | 06/30/98 | Cragun et al. | 705 | 10 | 12/23/94 |
| | K | 5,771,172 | 06/23/98 | Yamamoto et al. | 364 | 468.13 | 07/22/97 |
| | L | 5,765,143 | 06/09/98 | Sheldon et al. | 705 | 28 | 03/10/95 |
| | M | 5,687,322 | 11/11/97 | Deaton et al. | 395 | 214 | 06/01/95 |

Foreign Patent or Published Foreign Patent Application

| Examiner Initial | No. | Document No. | Publication Date | Country or Patent Office | Class | Sub-class | Translation | |
|------------------|-----|--------------|------------------|--------------------------|-------|-----------|-------------|----|
| | | | | | | | Yes | No |
| EJ | N | 00/51038 | 31.08.00 | WO- PCT | G06F | 17/40 | | |
| EJ | O | 99/67729 | 29.12.99 | WO- PCT | G06F | 17/60 | | |
| Examiner EJ EJ | | | | Date Considered 7/1/04 | | | | |

Form 1449 (Modified)

Information Disclosure
Statement By Applicant

(Use Several Sheets if Necessary)

Atty. Docket No.

RSI1P037

Applicant:

George Harry Hoffman, et al.

Filing Date:

3/23/01

Application No.:

09/816,212

Group Art Unit:

~~2294~~ 3627

Technology Center 2100

SEP 07 2001

RECEIVED

Other Documents

| Examiner Initial | No. | Author, Title, Date, Place (e.g. Journal) of Publication |
|------------------|-----------------|---|
| ED | P | www.zdnet.com/ecommerce/stories/main/0,10475,2601706,00.html - "Supply chain gets cold cuts" |
| | Q | http://www.foodlogistics.com/archives/jul00/jul00-coverstory.html - "Cover Story: Supply Chain Management Quarterly Efficient Foodservice Response Moving Towards Efficiency" |
| | R | http://www.localbusiness.com/Story/Print/0,1197,NOCITY_55953,00.html "MindFlow: Purchasing Savvy" |
| | S | http://freespace.virgin.net/heathcote.echain/white_paper_4.htm "e-Chain Solutions Limited, White Paper 4 - Efficient Foodservice Response (EFR)" |
| | T | http://www.startmag.com/v3n9/v3n9p060.asp "Start - November 1999, v3n9 The Virtual Supply Chain" |
| | U | http://www.p21.com/acclaim/supply-chain.html "Supply Chain Management" |
| | V | http://www.sap.com/press/fs_scm.htm "mySAP.com™ Supply Chain Management" |
| | W | http://www.foodlogistics.com/esupply/esupply/section3.html "An E-Powered Supply Chain" |
| | X | http://www.supplychainbrain.com/news/ "Supply Chain Brain Quick Read Newsletter February 21, 2001" |
| Examiner | Date Considered | |
| EE | 7/1/04 | |

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.